
Altruist Fee Schedule

Altruist Financial LLC
Effective March 9, 2026

altruist

Fee Schedule

Administrative	Cashiering	Trading	Standard	Altruist One
ACAT & Non-ACAT Transfers Out (Full)	ACH or Check Issue (Return or Stop Payment)	Equities, ETFs & Warrants³	\$0	\$0
ACAT & Non-ACAT Transfers Out (Partial)	Check Distribution (Submitted online) ²	Fixed Income		
Annual Account Maintenance (All Account Types Including Retirement)	Check Distribution (Submitted via form) ²	Treasuries (Secondaries including Bonds, Bills, Notes, STRIPS, TIPS & Zero-Coupon)	\$0	\$0
Corrected Tax Forms	Expedited Check (Express Mail & Overnight Delivery)	Certificates of Deposit, Corporates, Government Agencies & Municipalities	\$1 per bond \$10 / \$200 max	\$0
Paper Account Statements & Tax Form Requests (Per Document)	Wire Distribution (Submitted online) ²	Mutual Funds⁴		
Paper Trade Confirmations (Per Document)	Wire Distribution (Submitted via form) ²	No-Transaction-Fee (NTF): (Includes Select Money Market Funds & All Trades <\$1,000)	\$0	\$0
Physical Certificates (Handling, Review, Processing, Request, Transfer, Delivery, Settlement, Deposits, Securities & Foreign Accommodation)	Wire Issue (Return or Stop Payment)	Transaction Fee: Mutual & Money Market Funds (Trades > \$1,000)	\$20	\$0
Roth Conversion		Vanguard & DFA	\$5	\$0
Voluntary Corporate Actions (Reorganizations, Warrants, Rights Exercises, Late Instructions & Death Puts)		Share-Class Conversions, Exchanges & Short-Term Redemptions	\$20	\$20
401(k) Plan Administration		Broker-Assisted Fee (For Trades & Transfers by Phone)	\$25	\$25
Pass Through ¹	Varies			

¹ Certain pass through fees may apply, including the following: ADR Fees, CDSC Charges, Direct Registration System (DRS) Transfer Out (per item), FINRA Trading Activity Fee (TAF) (per-share), SEC 31 Fee (per \$1 in stock sales), CAT Fees*, and OCC Fees*. Processing and service fees assessed by third parties may be passed through to the customer's account. Some products and services may require additional fees or transaction minimums not specifically listed here. Prior to placing a trade or requesting any services, it is the customer's responsibility to ask Altruist Financial if any additional fees will apply.

² For check and wire distributions, we'll charge the lesser of the online and form fee until our new online submission features are available.

³ Commission-free trading refers to \$0 commissions for Altruist Financial brokerage accounts that trade US listed equities, ETFs and warrants via web or mobile devices. The trading of ADRs and Over-the-Counter securities are subject to additional fees which may be passed through to you.

⁴ Mutual Fund Trade fees apply to orders greater than or equal to \$1,000. Mutual fund trade fees are calculated using the prior day's NAV. Because of this, trades executing at less than \$1,000 may still incur the Mutual Fund fee. The following fund families will incur a reduced transaction fee of \$5.00: Vanguard and Dimensional Fund Advisors (DFA), including their Money Market Fund offerings.

If Altruist, in its sole discretion, determines that an advisor is engaging in trading behaviors designed to avoid mutual fund transaction fees, including splitting orders for shares of the same fund into smaller increments, it reserves the right to apply mutual fund transaction fees to all such orders.

⁴ Altruist reserves the right to change the funds made available without transaction fees.

⁴ Altruist Financial LLC may receive remuneration from transaction fee fund companies for certain administrative services. This may create a conflict of interest as Altruist could earn more compensation from no-transaction-fee funds than it could earn from other funds available, including funds with a transaction fee.

Certain products or features may be subject to separate fees or fee schedules. Please refer to the applicable documentation for further details.

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