
Altruist Fee Schedule

Altruist Financial LLC
Effective May 19, 2025

altruist

Simply better pricing.

As a company focused exclusively on RIAs, we understand the impact that our products, service model, and fees have on your clients. Our fees should always be simple, transparent, and as good or better than the most competitive legacy alternatives—never getting in the way of your ability to give authentic advice. The objective is to provide you and your clients with a platform that boasts the lowest possible costs alongside the highest possible value, creating a frictionless path to the best possible outcomes.

Jason Wenk CEO & Founder



Fee Schedule

Administrative	Cashiering	Trading	Standard	Altruist One
ACAT & Non-ACAT Transfers Out (Full) \$50	ACH, Check or Wire Issue (Return, Reject, Stop Payment, Stale-Dated & Non-Sufficient Funds) \$25	Equities, ETFs & Warrants ³ \$0		
ACAT & Non-ACAT Transfers Out (Partial) \$0	Check (Copies, Print & Domestic Mail-Prep) \$5	Fixed Income		
Annual Account Maintenance (All Account Types Including Retirement) \$0	Expedited Checks (Express Mail & Overnight Delivery) \$10	Treasuries (Secondaries including Bonds, Bills, Notes, STRIPS, TIPS & Zero-Coupon) \$0		
Corrected Tax Forms \$0	Outgoing Domestic Wires (Online ²) \$15	Certificates of Deposit, Corporates, Government Agencies & Municipals \$1 per bond \$10 / \$200 max		
Paper Account Statements & Tax Form Requests (Per Document) \$5	Outgoing Domestic Wires (Via Form) \$25	Mutual Funds ⁴		
Paper Trade Confirmations (Per Document) \$3		No-Transaction-Fee (NTF): (Includes Select Money Market Funds & All Trades <\$1,000) \$0		
Physical Certificates (Handling, Review, Processing, Request, Transfer, Delivery, Settlement, Deposits, Securities & Foreign Accommodation) \$100		Transaction Fee: Mutual & Money Market Funds (Trades > \$1,000) \$20		
Roth Conversion \$0		Vanguard & DFA \$5		
Voluntary Corporate Actions (Reorganizations, Warrants, Rights Exercises, Late Instructions & Death Puts) \$50		Periodic Investment Plan (PIP) & Systematic Withdrawal Plan (SWP) (Applies to Transaction Fee Funds) ² \$5		
401 (k) Plan Administration \$0		Share-Class Conversions, Exchanges & Short-Term Redemptions \$20		
Pass Through ¹ Varies		Broker-Assisted Fee (For Trades & Transfers by Phone) \$25		

¹ Certain pass through fees may apply, including the following: ADR Fees, CDSC Charges, Direct Registration System (DRS) Transfer Out (per item), FINRA Trading Activity Fee (TAF) (per-share), SEC 31 Fee (per \$1 in stock sales), CAT Fees*, and OCC Fees*. Processing and service fees assessed by third parties may be passed through to the customer's account. Some products and services may require additional fees or transaction minimums not specifically listed here. Prior to placing a trade or requesting any services, it is the customer's responsibility to ask Altruist Financial if any additional fees will apply.

² Listed fee will apply once feature becomes available.

³ Commission-free trading refers to \$0 commissions for Altruist Financial brokerage accounts that trade US listed equities, ETFs and warrants via web or mobile devices. The trading of ADRs and Over-the-Counter securities are subject to additional fees which may be passed through to you.

⁴ Mutual Fund Trade fees apply to orders greater than or equal to \$1,000. Mutual fund trade fees are calculated using the prior day's NAV. Because of this, trades executing at less than \$1,000 may still incur the Mutual Fund fee. The following fund families will incur a reduced transaction fee of \$5.00: Vanguard and Dimensional Fund Advisors (DFA), including their Money Market Fund offerings.

⁴ Altruist reserves the right to change the funds made available without transaction fees.

⁴ Altruist Financial LLC may receive remuneration from transaction fee fund companies for certain administrative services. This may create a conflict of interest as Altruist could earn more compensation from no-transaction-fee funds than it could earn from other funds available, including funds with a transaction fee.

Certain products or features may be subject to separate fees or fee schedules. Please refer to the applicable documentation for further details.

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