

Promotions Terms

Altruist Academy Sweepstakes (March 2023)

To view the Altruist Academy Sweepstakes Official Rules for the Altruist Academy giveaway, including instructions on how to enter, [click here](#).

UTMA/UGMA Funding Promotion (Effective through 12/31/2022)

During the offer period, qualifying UTMA/UGMA accounts will be eligible to receive an account credit equal to the amount specified in marketing materials used in conjunction with the current promotion. To qualify, eligible accounts must be funded (the "Account") with at least \$50 in cash or securities received in good order within the promotional period. For the purposes of this promotion, "eligible account" shall mean UTMA or UGMA accounts opened through Altruist Financial LLC (an SEC-registered broker/dealer and Member [FINRA/SIPC](#)) during the promotional period, or existing UTMA or UGMA accounts that have a \$0 balance and receive initial funding during the promotional period listed in the marketing material(s) received in conjunction with the current offer. Offer is limited to one redemption per minor account holder (as identified by social security number), to be credited to eligible accounts in the month following a confirmed qualifying funding event. Registered investment advisers and their affiliated persons are not eligible to participate in this promotion.

By accepting this promotional credit, the custodian acting on behalf of the Minor for the account (the "Custodian") understands and acknowledges that the credit is not a

"gift" as defined in FINRA Rule 3220. Further, the Custodian understands and acknowledges that acceptance of the credit does not constitute Altruist sharing directly or indirectly in the profits or losses in the Minor's account, and that the credit is not being provided to the Minor in connection with any guarantee made by Altruist against losses in connection with the Minor's account or any transactions therein. The compensation does not constitute a settlement in connection with a complaint or claim by any party to the account against Altruist. The credit does not create any new, or modify any existing, obligations by and between any party to the account and Altruist. Lastly, the Custodian understands that the credit is unrelated to, and does not constitute, a refund of any commission or mark-up or previously charged fee associated with the Minor's account.

On February 6, 2023, Altruist discovered an email campaign that inadvertently sent email messages regarding this promotion to certain advisors after the stated 12/31/2022 end date. As a result, Altruist is extending the Promotional Period for impacted advisors' clients through the end of February (02/28/2023) and will honor any requests that meet the above eligibility criteria during this timeframe.

Onyx Advisor Network Promotional Pricing (Effective 02/01/2022)

After Altruist's standard one month free trial period, advisors who are current members of the Onyx Advisor Network are eligible to receive an Altruist software subscription rate of their first 500 client accounts free and \$1 for each additional account per month. Subscription discounts will be processed via Stripe for eligible advisors. Promotion will be applied in the form of a coupon, crediting each qualifying advisor for an additional 400 free accounts (500 in total) each month.

Advisors must remain part of the Onyx Advisor Network to continue receiving this promotion. If an advisor leaves the Onyx Advisor Network, Altruist's standard subscription rate of their first 100 client accounts free and \$1 for each additional account per month will apply as of the next month's billing cycle. Subscription pricing and promotion terms are subject to change at Altruist's sole discretion. Keep in mind, promotional pricing applies to Altruist's Performance Accounting software only, other brokerage fees may apply on client assets. For further details, refer to the Subscription Pricing and Fee Schedule links on our [Legal page](#). An advisor's participation in this promotion will be deemed to constitute the advisor's representation that the promotion is consistent with applicable laws, rules and regulations and the advisor's fiduciary, disclosure and other obligations to its clients.

New Account Funding Promotion (Effective through 10/31/2021)

Gift cards are provided through a third-party vendor "[Rewards Genius by Tango Card](#)" offered by "Tango Card Inc." for the amount specified in the marketing materials used in conjunction with the current promotion. To qualify, you must fund an eligible account (the "Account") with cash or securities received in good order within the promotional period. For the purposes of this promotion, "eligible account" shall mean accounts opened through Altruist Financial LLC (an SEC-registered broker/dealer and Member [FINRA/SIPC](#)) during the promotional period, or existing accounts that have a \$0 balance and receive initial funding during the promotional period listed in the marketing material(s) received in conjunction with the current offer. You must fund the Account with cash or securities held at a financial institution other than Altruist Financial LLC. Assets transferred from an existing Altruist Financial LLC account do not qualify. Gift cards will be limited to \$500 per individual per calendar year.

Use and redemption of issued gift cards are the sole responsibility of the recipient, subject to the terms and conditions set forth by Tango Card Inc. Altruist cannot and will not be held liable for any lost, stolen or otherwise unredeemed gift cards. Registered investment advisers and their affiliated persons are not eligible to participate in this promotion.

Altruist Financial LLC and its affiliates (collectively, “Altruist”) reserves the right to modify the terms of these and any other promotions at any time with or without notice, in its sole discretion, subject to applicable laws and regulations. Your participation in these promotions constitutes your acknowledgement and acceptance of the Altruist Financial LLC Customer Agreement, Privacy Notice and Privacy Policy, which can be located on our [Legal page](#) at altruist.com/legal. Further, you also acknowledge that Altruist cannot be held liable for any technical or other issues that may arise from your use of any third-party websites or other technologies in conjunction with any promotional offer.

Registered Investment Advisers and/or their affiliates (“RIAs”) may not use any aspect of any Altruist promotion in conjunction with any of their Firm’s advertising, marketing or other promotional endeavors without prior written consent from Altruist. RIAs may not imply affiliation, endorsement or sponsorship by Altruist as a result of their participation in any Altruist promotion. Registered investment advisers and their affiliated persons are not eligible to participate in gift card or account funding promotions.