

Fee Schedule

Altruist Financial LLC



Equities & ETFs

Equity, ETF, and Warrants

Commission-free trading refers to \$0 commissions for Altruist Financial brokerage accounts that trade US listed equities, ETFs and warrants via web or mobile devices.

Mutual Funds

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|------------------------|----------------|
| Fund/SERV eligible | \$5 per trade |
| Non-Fund/SERV eligible | \$30 per trade |
| NTF Funds | \$0 per trade |
| Exchanges | \$5 per trade |
| PIPs/SWPs | \$3 per trade |

Fixed Income

Fixed Income (corporates, municipals, treasuries & agencies, CDS, MBS)

\$10 per trade

Transfer Charges

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|---------------|--------------------|
| ACAT Out (US) | \$75 |
| ACAT Incoming | \$0 |
| DWAC Transfer | \$100 per position |
| DTC Deliv/Rec | \$25 per position |
| DRS Inc./Out. | \$115 per position |

Miscellaneous

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| Returned checks | \$30 |
| Check stop | \$30 |
| Overnight check | \$50 |
| Returned wire | \$30 |
| Tax Certifications | \$5 per account (non-US only) |
| Tax Document Requests | \$5 |
| Physical Copy Trade Confirm | \$2 per confirmation |
| Physical Copy Statements | \$5 per statement |
| Broker assisted phone order | \$20 per trade |
| Retirement plan services | \$15 per account annually |

Withdrawal Related

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| Paper check / e-check | \$5 |
| ACH Transfer | \$0 |
| Outgoing wire (US) | \$25 |
| Outgoing wire (Int'l) | \$45 |

Regulatory Fees

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| FINRA Trading Activity Fee | \$0.000119 per share (maximum of \$5.95) |
| SEC SRO Trading Fee | \$22.10 per million dollars |

Additional wire transfer fees charged by any originating, intermediary, or recipient bank may apply. Both incoming and outgoing wire transfer reversals are subject to wire transfer reversal fees. Additional wire transfer reversal fees charged by any originating, intermediary, or recipient bank may apply.

Processing and service fees assessed by third parties may be passed through to the customer's account. Some products and services may require additional fees or transaction minimums not specifically listed here. Prior to placing a trade or requesting any services, it is the customer's responsibility to ask Altruist Financial if any additional fees will apply. These additional fees may include but are not limited to:

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| 401k Annual Maintenance (per account) | \$250 |
| 990-T Return, Preparation, and Filing | \$150 |
| ACATS - Outgoing Non Retirement | \$75 |
| ACATS - Outgoing Partial | \$75 |
| ACATS - Outgoing Retirement | \$75 |
| ACH Notice of Change/Correction | \$5 |
| ADR Cancellation / Creation | \$0 |
| Alternative Investment Cancel Correction | \$10 |
| Alternative Investment Fee | \$30 |
| Alternative Investment Subscription Fees | \$250 |
| Alternative Investment Trade | \$50 |
| Alternative Investment-Receive/Deliver (delinking/link) | \$30 |
| Cashless ESOP | \$300 |
| Check Copies | \$15 |
| Data Management Research Requests (Hourly, 2 hour minimum) | \$150 |
| Death Put | \$100 |
| Dividend Check (per item) | \$5 |
| DTC Delivery (per security) | \$25 |
| DWAC (per event) | \$100 |
| DWAC / DRS reject | \$125 |
| Employee Stock Option Plan | \$100 |
| Fail Financing (Foreign) | \$0 |
| Foreign Free Receives/Delivers | \$35 |

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| Foreign Security Transfer Fee | \$50 |
| Inaccurate or Missing Trade Files | \$500 |
| Incoming Wire Transfers (Domestic) | \$0 |
| Incoming Wire Transfers (Foreign) | \$0 |
| Limited Partnerships / Private Placements - safekeeping (per month) | \$25 |
| Limited Partnerships / Private Placements | \$250 |
| Mailgrams / NYSE Extensions (per item) | \$25 |
| Margin Sell out fee (per ticket) | \$25 |
| Non Transferable Stocks (per month, per security) | \$10 |
| Outgoing Wire Transfers (Domestic) | \$25 |
| Outgoing Wire Transfers (Foreign) | \$45 |
| Overdraft/Use of Apex Funds/Day Loans | \$0 |
| Overnight Mail - Domestic (per request) | \$50 |
| Overnight Mail - International/Canada (per request) | \$100 |
| Paper Check Draft/regular mail - domestic | \$5 |
| Paper Check Draft/regular mail - international | \$10 |
| Paper Confirm Fee (Retail Paper Only) (per confirm) | \$2 |
| Paper Prospectus Fee (Domestic) | \$3 |
| Paper Prospectus Fee (Foreign) | \$9 |
| Paper Statement Fee (Retail Paper Only) (per statement) | \$5 |
| Physical cert request from Electronic shares | \$900 |
| Physical trade settlement fee | \$300 |
| Physicals Deposit Domestic | \$300 |
| Physicals Deposit Foreign | \$350 |
| Physicals Foreign Accommodation Transfer | \$350 |
| Prepayment - (\$20.00 if amount is less than \$10,000. If amount is over \$10,000, 0.2% of unsettled amount) | \$0 |
| Proxy Voting (Foreign): ICSD - All Other Custody Local Settlement Zones | \$250 |
| Proxy Voting (Foreign): ICSD - Euroclear and Clearstream | \$125 |
| Reorg Physical Processing Fee | \$0 |
| Reorg Wire Fee (domestic) | \$25 |
| Reorg Wire Fee (international) | \$50 |
| Reorganization Activity - domestic voluntary/Post actionable reorgs - per CUSIP, per account | \$50 |
| Reorganization Activity - international voluntary/Post actionable reorgs - per CUSIP, per account | \$100 |

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| Retirement Plan Services Annual Maintenance (per account) | \$15 |
| Retirement Plan Services Termination Fee (per event) | \$60 |
| Returned ACH (per return) | \$30 |
| Returned Checks/Wires and Recalls (including amendment repairs) | \$30 |
| Safekeeping - Lost Certificate Replacement 3% of cert value 250.00 min. | \$0 |
| Safekeeping - Monthly (per account, per CUSIP) | \$5 |
| Safekeeping - Withdrawals (per withdrawal) | \$60 |
| Short Forced Buy In fee (per ticket) | \$25 |
| Split Settlement (Euroclear/DTCC) | \$75 |
| Stop Payments on Apex Issued Checks | \$30 |
| Third Party Distribution Notification | \$2 |
| TOD Account Transfer Fee (per transfer) | \$200 |
| Transfers - Accommodation (DRS) (per security) | \$115 |
| Transfers - Accommodation T & S (per security) | \$235 |
| Transfers - Legal (per security) | \$150 |
| Transfers - Restricted (per security) | \$465 |
| Transfers - Rush T & S Premium (per security) | \$350 |